

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Group Art Unit 3693

Examiner: Sara M. Chandler

Application of:	Lawrence P. Shields et al.
Filed:	: November 2, 2001
Serial No.	: 10/003,613
Title	: SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT**

In response to the Office Action dated July 12, 2007, please amend the above-identified application as follows:

**Listing of the Claims** begins on page 2 of this paper.

**Remarks** begin on page 19 of this paper.